



ATTORNEY'S DOCKET NO: C01104/70075

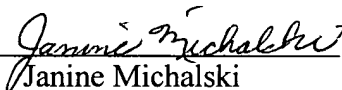
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller  
Serial No: 09/917,294  
Confirmation No.: 8108  
Filed: July 26, 2001  
For: LIGHTING CONTROL USING SPEECH RECOGNITION  
  
Examiner: Unassigned  
Art Unit: 2632

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Technology Center

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 19<sup>th</sup> day of March, 2002.

  
Janine Michalski

Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

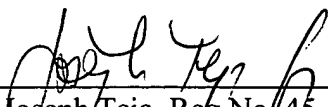
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

*Kevin J. Dowling and George G. Muellerl, Applicant(s)*

By:   
Joseph Teja, Reg No 45,157  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. C01104/70075

Dated: March 9, 2002



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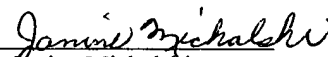
MAR 28 2002

Technology Center 2600

Examiner: Unassigned  
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 19th day of March, 2002.

  
Janine Michalski

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for filing are the following documents:

- [X] STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98 PTO FORM 1449 AND REFERENCES CITED
- [X] RETURN POST CARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

***Kevin J. Dowling and George G. Mueller, Applicant(s)***

By: 

Joseph Teja, Reg No. 45,157  
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600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. C01104/70075  
Dated: March 19, 2002  
X (NDD)

FORM PTO-1449/A and B (Modified)

APPLICATION NO.: 09/917,294

ATTY. DOCKET NO.: C01104/70075

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling and George G. Mueller.

GROUP ART UNIT: 2632

EXAMINER: Unassigned

Sheet

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of

1

## **U.S. PATENT DOCUMENTS**

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
✓		6,097,352		Zavracky et al.	08/01/2000
✓		5,769,527		Taylor et al.	06/23/1998
✓		5,673,059		Zavracky et al.	09/30/1997
✓		5,642,129		Zavracky et al.	06/24/1997
✓		5,329,431		Taylor et al.	07/12/1994
✓		5,307,295		Taylor et al.	04/26/1994
✓		5,209,560		Taylor et al.	05/11/1993
✓		5,086,385		Launey et al.	02/04/1992
✓		4,980,806		Taylor et al.	12/25/1990
✓		1,654,068		D. G. Blattner	12/27/1927

## **FOREIGN PATENT DOCUMENTS**

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
✓		UK	2 045 098 A		Group NH Limited	10/29/1980	
✓		EP	0 495 305 A2		Vari-Lite, Inc.	07/22/1992	
✓		EP	0 823 813 A2		Kopin Corporation	02/11/1998	

## **OTHER ART - NON PATENT LITERATURE DOCUMENTS**

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
✓		International Search Report from PCT Application PCT/US01/23475	
✓		Home Automated Living: HALbasic, pages 1-2; website: <a href="http://www.automatedliving.com/products_halbas.shtml">http://www.automatedliving.com/products_halbas.shtml</a>	
✓		Home Automated Living: HALdeluxe, pages 1-2; website: <a href="http://www.automatedliving.com/products_haldel.shtml">http://www.automatedliving.com/products_haldel.shtml</a>	
✓		Home Automated Living: HAL2000, pages 1-3; website: <a href="http://www.automatedliving.com/products_hal2000.shtml">http://www.automatedliving.com/products_hal2000.shtml</a>	

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).